

The Behaviour Policy and Procedures

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Person Responsible	Deputy Executive Principal – Teaching, Learning & Improvement
Approval/ review body	SLT (Senior Leadership Team)
Frequency of Review*	12 months

** Policies will be reviewed more frequently if legal changes or good practice require*

Review History:		
Date:	Review by	Reason for review
Jul 2021	Deputy Executive Principal – Teaching, Learning & Improvement	Complete re-write to simplify the process and language used in the Policy although the low-level informal Causes for Concern ("CfC's") and a three-level formal Disciplinary process remain.
Feb 2022	Deputy Executive Principal – Teaching, Learning & Improvement	Further develop Appendix 1 into a new format with more examples which include bringing the college into disrepute and examples related to drugs although they will also sit in the 'Learner Substance Misuse Policy'. Add details relating to learners who have had a Level 3 Final Written Warning and make clear the next steps if unsuccessful.
Jul 2022	Deputy Executive Principal – Teaching, Learning & Improvement	Significant revisions to the way we deal with behaviour and attendance pre/post 42-day (6-week trial) period. Removal of Causes for Concern (CfC's) post-42 days.
Sep 2022	Deputy Executive Principal – Teaching, Learning & Improvement	Minor improvements suggested as feedback from staff within the College Expectations training session.
Jul 2023	Deputy Executive Principal – Teaching, Learning & Improvement	Annual review.

Contents

1. Scope	2
2. Principles	2
3. Six Week Trial Period (42-Day Review Period)	3
4. Disciplinary Process, Definitions and Responsibilities	4
6. Appeal Process	9
7. Monitoring of Behaviour and Logging	10
Appendix 1 – Examples of Behaviour and Possible Sanctions	11
Appendix 2 – Disciplinary Procedure Flowchart	12

1. Scope

- 1.1. This policy applies to the entirety of North Kent College (henceforth referred to as “the College”) and includes all campuses, satellite sites and Hadlow College.
- 1.2. This policy applies to all learners in all areas of the College, including all College accommodation as well as College transport, trips/visits, online educational environments, college related work placements or work experience, or any environment (including social media platforms) where the learner is representing the College or can be associated with the College.
- 1.3. The College’s aim is to provide an environment free from barriers to success and progression and to safeguard all.
- 1.4. If a learner’s behaviour prevents them and/or others from feeling safe, secure, respected, and able to learn effectively, then this may result in disciplinary action.

Where any member of staff has reason to believe that a learner may have committed or may intend to commit a criminal offence, the College may refer the matter to the Police and may continue disciplinary proceedings under this procedure irrespective of any criminal process. Where advised by the Police or any other investigatory body to stop proceedings to facilitate their investigation, we may pause disciplinary action. This may impact a learner’s attendance and appropriate decisions will be made on a case-by-case basis as to how to best safeguard a learner’s education. Where learners are under investigation for involvement in criminal activity, or where they have been convicted of a criminal offence whilst enrolled, the learner will be risk-assessed for their suitability to continue to attend the college.

- 1.5. This Policy applies to all learners on all programmes. This Policy should be read in conjunction with the other policies of the college, including but not limited to:
 - 1.5.1. Safeguarding Policy;
 - 1.5.2. Prevent Policy;
 - 1.5.3. Learner Substance Misuse Policy;
 - 1.5.4. Learner Anti-bullying Policy;
 - 1.5.5. Academic Misconduct Policy;
 - 1.5.6. Malicious Communication Policy; and/or
 - 1.5.7. IT and Conditions of Use Policy

2. Principles

- 2.1 This Policy applies to all learners.
- 2.2 This policy is to be used as a mechanism to safeguard and support learning and engagement in all activities of the College and to help to prepare learners for progression opportunities within the College or for employment or higher education.
- 2.3 Where a learner’s behaviour adversely impacts our aims (1.3 above), then that behaviour may be addressed using the disciplinary procedures defined herein.
- 2.4 All disciplinary action should be taken in line with the Learner Charter, Campus Expectations and any other policy or contract of the College or curriculum area outlining rules and

expectations. Breaches are categorised as minor, significant and serious. Disciplinary action should be proportionate to the alleged breach and staff will use their professional judgement and evidence from any investigations, including any considerations in relation to any learner's special educational needs and relevant Education Health and Care Plan ("EH&CP"), where relevant.

- 2.5 The College will make clear the behaviours expected of learners in the:
 - 2.5.1 Annual learner induction process;
 - 2.5.2 College Expectations which are displayed throughout the College;
 - 2.5.3 Learner Charter that is signed by all learners and parents/carers at the commencement of their education programme; and
 - 2.5.4 Local area Learner Contracts (as appropriate)
- 2.6 Parents/carers will be contacted if a learner was under the age of 19 at the commencement of their course in the September of the current academic year. If a learner does not want a parent/carer contacted, they must write to mis@northkent.ac.uk to confirm non-contact.
- 2.7 Fundamental British Values, which underpin all teaching at the College and are a Government expectation as well as a College expectation, will be applied across the College.
 - 2.7.1 All members of staff (teaching and support) have a responsibility for safeguarding and maintaining good behaviour and, therefore, all members of staff can instigate disciplinary action for behaviours deemed in breach of this policy.
 - 2.7.2 If a learner is Looked After or a Care Leaver, Student Services will communicate with the relevant persons as they would with parents/carers as per this Policy.
 - 2.7.3 If a learner is sponsored to be at this College by an employer, training agency or a partner institution, we will communicate with the employer or partner manager as we would with parents/carers.
 - 2.7.4 If the learner is from a school as part of our School Links programme, the learner will be held to account through our standards and this Policy, although communications will be with the school in the first instance, who will be responsible for communicating with parents.
 - 2.7.5 All written communication with learners will be through their College email account.

3. Six Week Trial Period (42-Day Review Period)

- 3.1 All learners start their academic year on a six-week trial (this is a 42-day period). The trial period starts from their first registered attendance to a timetabled lesson.
- 3.2 During this trial period, all learners' attendance, behaviour, and commitment/attitude to study will be closely scrutinised.
- 3.3 Any concerns about attendance, behaviour and attitude will be recorded as a Cause for Concern (CfC) on eTrackr (the learner online management system) and should be notified to the learner and their parents/carers within 48 hours, where possible. Parents can ask their son/daughter/ward to show them the eTrackr record at any time to show attendance, progress, attitude to learning, and other work-related markers.

- 3.4 A learner can be withdrawn from their education programme at any point in their first 42 days regardless of whether any Disciplinary Levels have been issued during this 42-day period. If a learner has an EH&CP, an Emergency Review will be held, and the Local Authority invited to attend.
- 3.5 There is no right of appeal to return to the College for learners who are withdrawn during this 6-week, 42-day trial period.

4. Disciplinary Process, Definitions and Responsibilities

- 4.1 **Six Week Trial Period (42-Day Review Period)** the time period to ensure that the learner will apply themselves fully and professionally to their course in order to successfully remain on their programme post-42 day period. It also allows the learner to check that they are on the right course that they will enjoy and achieve.
- 4.2 **Cause for Concern ("CfC")** will only be used to log attitude, behaviour and attendance concerns during the first 6-week, 42-day period.
 - 4.2.1 A CfC should be raised for any concerns related to breaches of the College Expectations, Learner Charter, Campus Contract or any other policy or rule of the College or the curriculum area (See Appendix 1 for example breaches and consequences).
 - 4.2.2 CfCs are to be raised on eTrackr within 48 hours of an infringement, where possible.
 - 4.2.3 A CfC can be raised by any member of staff (academic and support), regardless of whether that learner is in their Area.
 - 4.2.4 Where a member of staff is not in the curriculum Department of the learner to whom a CfC is being issued, they should ensure that the relevant Personal Tutor or Head of Curriculum is informed.
 - 4.2.5 A CfC should be supported with a discussion with the learner to be held by the issuing member of staff as to why the CfC has been given.
 - 4.2.6 A Cause for Concern will remain on a learner's eTrackr record for the duration of the academic year.
 - 4.2.7 A Head of Curriculum ("HoC") has the discretion to remove a CfC where they deem it appropriate to remove.
 - 4.2.8 As a result of the number of CfCs accrued and the type of behaviour, attitude or attendance concerns, a learner may be withdrawn from their programme before the end of their six-week trial concludes.
- 4.3 **Post Six Week Trial Period (42-day Review Period)**
 - 4.3.1 Causes for Concern (CfC's) will not be used beyond the six-week period. Behaviour and/attendance concerns will need to be challenged using the formal three-level disciplinary process and any narrative that needs to be shared outside of any formal Disciplinary, will need to be added as a Note and **NOT** as a CfC on eTrackr.
- 4.4 **Level 1 Disciplinary** – this is the first formal stage of the disciplinary process. A Level 1 Disciplinary should be raised when there is a repeated breach of the Learner Charter, Campus Contract or any other policy or rule of the College (See Appendix 1 for examples of such infringements).

- 4.4.1 Level 1 Disciplinary are to be raised as a note on eTrackr within 48 hours of an infringement of the rules of the College. The learner's Disciplinary status on eTrackr must be changed to reflect this.
- 4.4.2 A Level 1 Disciplinary can be raised by any member of curriculum staff, regardless of whether that learner is in their area.
- 4.4.3 If a member of support staff has concerns that a breach of college rules has occurred, they should report this to the relevant curriculum team for their view on any sanction.
- 4.4.4 A Level 1 Disciplinary should be supported with the issuing Tutor informing the learner in person and should be noted with any actions on eTrackr.
- 4.4.5 Where a member of curriculum staff is not in the curriculum Department of the learner to whom a Level 1 Disciplinary is being issued, they should ensure that the relevant Personal Tutor or Head of Curriculum is informed – this can be through an eTrackr email.
- 4.4.6 Level 1 Disciplinary do not have to be communicated to parents/carers, although may be, if deemed appropriate. However, English and maths staff will communicate these to parents.
- 4.4.7 In exceptional circumstances, a Level 1 Disciplinary may lead to a recommendation for a Level 2 Disciplinary and subsequent investigation.
- 4.4.8 A learner can be issued with up to a maximum of 3 times a Level 1 Disciplinary before they will automatically be escalated to at least a Level 2 Disciplinary.
- 4.4.9 A Level 1 Disciplinary will remain on a learner's eTrackr record for the academic year.

4.5 Level 2 Disciplinary - this is the second formal stage of the disciplinary process. A Level 2 Disciplinary should be raised when there has been a significant breach of the College Expectations, Learner Charter, Campus Contract or any other policy or rule of the College (See Appendix 1) or when a learner already has three Level 1 Disciplinary.

- 4.5.1 A Level 2 Disciplinary should be raised on eTrackr, where possible, within 48 hours of any allegation of an infringement.
- 4.5.2 A maximum of one Level 2 Disciplinary can be issued to a learner. If a further Disciplinary is required, it must be escalated to Level 3 as below.
- 4.5.3 A Level 2 Disciplinary can be raised by any member of curriculum staff but should trigger an investigation by the Head of Curriculum or Deputy Head of Curriculum, which will be supported by the relevant Curriculum Administrator in all communication with the learner and their parent/carer.
- 4.5.4 The instigation of a Level 2 Disciplinary investigation should be communicated to the learner and their parents/carers. Communication should be formal and written communication should be added as relevant notes on eTrackr.
- 4.5.5 If the learner has an EH&CP, then the Head of Additional Learning Support ("ALS") should be included in any discussions about the reasons for a Level 2 and any personal needs taken into consideration for that learner when reaching an outcome. If it is felt that it may help the process, a senior representative from the ALS Team may be asked to be present at any meetings held in relation to the Disciplinary.

- 4.5.6 Where appropriate, a learner can be suspended by the Head of Curriculum or Deputy Head of Curriculum, pending investigation and any suspension will be undertaken at the discretion of these managers.
- 4.5.7 A learner will not usually be suspended for longer than five working days, however, this may be extended at the discretion of an Assistant Principal.
- 4.5.8 All suspensions will be communicated with the learner and their parents/carers.
- 4.5.9 A learner may be sent home/removed from campus by any member of curriculum staff, pending a decision, whether this will be a formal suspension by the Head of Curriculum or Deputy Head of Curriculum. A temporary removal from the College is needed sometimes to allow a “cooling off” period.
- 4.5.10 If the incident in question does not relate to the safety or safeguarding of another person, the Head of Curriculum or Deputy Head of Curriculum may make the decision not to suspend and to allow the learner to attend their education programme pending investigation.
- 4.5.11 A learner who is suspended will not initially be marked absent from any lessons missed, although will be expected to work from home and catch-up with work missed. This will be the responsibility of the learner. If work is produced during this period the learner will be given a “D” mark, which is for Directed Study. However, if work is not produced during this period, then the learner’s register mark will be adjusted to an absent mark for each day suspended.
- 4.5.12 It is the responsibility of the Head of Curriculum or Deputy Head of Curriculum to investigate any allegations made against the learner in question. They may request statements or interviews with any person associated with the incident and may ask for any evidence they deem necessary to conclude. They reserve the right to make reasonable inferences from a lack of evidence. The alleged perpetrator will be asked for a statement. This statement should be written in their own words.
- 4.5.13 The investigation should, where possible, be concluded within five working days of the start of the investigation.
- 4.5.14 At the conclusion of the investigation, the Head of Curriculum or Deputy Head of Curriculum will meet formally with the learner. The learner has the right to have their parents/carers present. Parents/carers will be communicated with in writing to inform them of this meeting and the rights of the learner. The learner may be presented with evidence collected as appropriate and in line with data protection requirements and the learner may be asked to make their own representations. If the learner fails to attend for any reason, the meeting will take place in their absence.
- 4.5.15 Following a formal investigation and meeting (with or without the learner), the Head of Curriculum or Deputy Head of Curriculum will decide on the outcome of the investigation and may come to the following conclusions:
 - 4.5.15.1 Level 2 Disciplinary not upheld – note to be made on eTrackr:
 - 4.5.15.2 Level 2 Disciplinary upheld;
 - 4.5.15.3 An Additional Learning Support Action Plan will be included in the letter for any EH&CP learners receiving a Level 2 Disciplinary. Action Plan is attached on eTrackr and monitored by the Tutor and Head/Deputy Curriculum/ALS Manager – note to be added on eTrackr.
 - 4.5.15.4 Formal written warning – Action plan issued and monitored; or

4.5.15.5 Recommendation for escalation to a Level 3 Disciplinary and/or possible withdrawal from education programme.

4.5.16 Level 2 Disciplinary will, where relevant, be communicated with parents or carers as appropriate. All outcomes should be noted on eTrackr.

4.5.17 A Level 2 Disciplinary will remain on a learner's eTrackr record for the academic year.

4.6 **Level 3 Disciplinary** - this is the final formal stage of the disciplinary process but where it is appropriate, may be treated as the first or second stage for serious "one-off" incidents or a continuous number of low-level behaviours. A Level 3 Disciplinary should be raised when there has been a serious breach of policy or rule of the College (see Appendix 1 for some examples) or when a Level 2 Disciplinary has been upheld and a further disciplinary breach occurs for the same or related reasons or amounts to a repeated pattern of behaviour that constitutes a serious breach.

4.6.1 A Level 3 Disciplinary should, where possible, be raised within 48 working hours of any allegation of an infringement policy or rule of the College.

4.6.2 The instigation of a Level 3 Disciplinary investigation must be communicated to the learner and their parents/carers. Communication should be formal and written communication should be issued by the Student Services Team who will also make relevant notes on eTrackr.

4.6.3 If the learner has an EH&CP, then the Head of ALS should be included in any communications and discussions about the reasons for a Level 3, and any personal needs taken into consideration for that learner when reaching an outcome. The Head of ALS or a nominated member of their team, will be asked to be present at the meeting with the learner and their parent/carer.

4.6.4 Where appropriate, a learner can be suspended, either by a Head of Curriculum, Assistant Principal or other senior member initially for a period of five working days. However, a suspension can be extended for a period of a further five working days, pending any additional investigation needed if the Assistant Principal has approved.

4.6.5 All suspensions will be communicated with the learner and their parents/carers by a HoC or Assistant Principal.

4.6.6 If the incident in question does not relate to the safety or safeguarding of another person, the Assistant Principal may make the decision not to suspend and to allow the learner to attend their usual timetabled education programme pending further investigation.

4.6.7 A learner who is suspended will not initially be marked absent from any lessons missed, although will be expected to work from home and catch-up with work missed. This will be the responsibility of the learner. If work is produced during this period the learner will be given a 'D' mark, which is for Directed Study. However, if work is not produced during this period, then the learner's register mark will be adjusted to an absent mark for each day suspended.

4.6.8 It is the responsibility of the Assistant Principal to investigate any allegations made against the learner in question or to review the investigation undertaken at Level 2 if this is an escalation from a previous Level 2 Disciplinary. They may request statements or interviews with any relevant person and may ask for any evidence they deem necessary. They reserve the right to make reasonable inferences from a lack of evidence. The learner concerned will be asked to produce a statement within 24hrs of the start of the investigation.

- 4.6.9 The Assistant Principal will conclude their investigation within seven working days of the start of the investigation, where possible, but can be extended if agreed with a Vice Principal or Deputy Executive Principal.
- 4.6.10 At the conclusion of the investigation, the Assistant Principal will meet formally with the learner. The learner has the right to have their parents/carers present. Parents/carers will be communicated with in writing to inform them of this meeting and the rights of the learner. The learner may be presented with evidence collected as appropriate and in line with data protection requirements and the learner may be asked to make their own representations. If the learner fails to attend for any reason, the meeting will take place in their absence and any conclusion will be communicated in writing.
- 4.6.11 Following a formal investigation and meeting with the learner and/or their parents/carers, the Assistant Principal will decide on the outcome of the investigation and may come to the following conclusions:
- 4.6.11.1 Level 3 Disciplinary not upheld – note to be made on eTrackr;
 - 4.6.11.2 Level 3 Disciplinary upheld;
 - 4.6.11.3 Final formal written warning – Behaviour Contract set by Assistant Principal, issued and monitored – note to be made on eTrackr;
 - 4.6.11.4 Removed from the College campus to complete their qualification remotely. This outcome is discretionary and will only be offered when a learner is near completion of their qualification and the curriculum team feel the learner will be able to complete work independently. This is not possible if there are practical elements remaining on the programme. Where this outcome is offered, it must be accepted at the point of the offer. If this offer is refused and the learner returns to College but does not comply with the requirements of the curriculum area, they will not be permitted to resurrect the offer and, instead, they will be withdrawn without completion of their qualification.
 - 4.6.11.5 An Additional Learning Support Action Plan will be included in the letter for any EH&CP learners receiving a Level 3 Disciplinary. Action Plan is attached on eTrackr and monitored by the Tutor and Head/Deputy Curriculum/ALS Manager – note to be added on eTrackr.
 - 4.6.11.6 Withdrawal from education programme with immediate effect. Support is offered from the College's Careers Advisors to assist with guiding the learner to find a suitable destination.
- 4.6.12 Level 3 Disciplinary must be communicated with the learner and their parents or carers where appropriate. All outcomes should be noted on eTrackr.
- 4.6.13 A Level 3 Disciplinary (Final Formal Written Warning) will remain on a learner's eTrackr record for the duration of the academic year and a copy of it will be retained by Student Services for a full calendar year.
- 4.6.13.1 If a student is given a Final Formal Written Warning, they must adhere to the targets set.
 - 4.6.13.2 If there is another infringement of the College rules, or they fail to maintain the specific targets set during the Level 3 meeting, they may be withdrawn from their programme without a further meeting. Withdrawal from their

programme will be with immediate effect without appeal unless there is evidence the learner can offer new evidence that they believe has not been considered.

5. Opportunities to Appeal

- 5.1 **Cause for Concern** – a learner may ask their Head of Curriculum to review the matter, and this will be undertaken at their discretion. A Head of Curriculum may remove a Cause for Concern if it is felt not appropriately applied. There is no further appeal if the Head of Curriculum does not believe the CfC should be removed.
- 5.2 **Level 1 Disciplinary** – no right of appeal.
- 5.3 **Level 2 Disciplinary** – the learner must write to the relevant Assistant Principal, within three days of the Level 2 Disciplinary Outcome Meeting, giving their reasons for appeal. The Assistant Principal may ask for new/additional evidence to be considered and they may request to meet with the learner. They will investigate and communicate their findings and any action to be taken in writing within five working days.
- 5.4 **Level 3 Disciplinary** – the learner must write to the relevant Vice Principal or the Deputy Executive Principal, within three days of the Level 3 Disciplinary Outcome Meeting, giving their reasons for appeal. The learner may ask for new/additional evidence to be considered and the Investigator may request to meet with the learner. They will investigate and communicate their findings and any action to be taken in writing within five days. They will be supported with this by Student Services. If there is no appeal after a Level 3 meeting and the learner is given a “Final Written Warning” there will not be an opportunity to appeal should the learner be subsequently withdrawn for failing to meet the targets of their Final Written Warning.

6. Appeal Process

- 6.1 Where a learner believes that disciplinary action has been taken inappropriately or contrary to The Behaviour Policy, they may appeal, provided they have grounds to do so.
- 6.2 **Grounds for appeal are:**
 - 6.2.1 New evidence – information that was not available at the time of a hearing/meeting that should now be considered;
 - 6.2.2 Mistake of fact – the issuer of the Disciplinary was not in full possession of all the facts. Evidence that was requested at the time but not made available by the learner will be viewed at the discretion of the member of staff hearing the appeal (i.e. the learner did not attend the meeting); and/or
 - 6.2.3 Mistake of process – the evidence is all present, the facts are correct, but the learner alleges that The Behaviour Policy has not been properly applied.
- 6.3 In any appeal, it is the responsibility of the learner making the appeal to produce the evidence of their appeal. Appeals based on “mistake of process” will only be considered with specific reference to the 2022-23 Behaviour Policy.
- 6.4 Where an appeal is granted, it will be dealt with within five working days.
- 6.5 Where an appeal has been heard, there are three possible outcomes:

- 6.5.1 Appeal not upheld – the original disciplinary action taken is deemed to have been the correct action;
 - 6.5.2 Appeal not upheld - the original disciplinary action taken was justified but not proportionate to the severity of the breach. If this is the case, then further disciplinary action may be taken; or
 - 6.5.3 Appeal upheld – the original disciplinary action should not have been taken and the disciplinary is overturned. It will be removed from the learner's eTrackr record.
- 6.6 If an appeal is not upheld, there is a right of complaint but only if due process was not followed. The complaint process may not be used to submit new evidence or dispute the reasons for an appeal decision, as this would constitute a further appeal to the appeal, for which there is no right.

7. Monitoring of Behaviour and Logging

- 7.1. Beyond a potential three Level 1 Disciplinarys, the Disciplinary Levels only escalate. Once a learner has been issued a Level 2 or 3, they will not be issued with another Level 1 or lower level again.
- 7.2. Any learners with an EH&CP who are deemed 'at risk' at any point in their programme, must be discussed with the ALS Manager and the Head of Curriculum so that the ALS team can put into place any additional measures for support. They will create an Action Plan for the learner which will be recorded on eTrackr.
- 7.3. Every learner will have a named "Personal Tutor" who will be the learner's key staff member who will monitor their learners.
- 7.4. Some learners will have a Maths and/or English Tutor, who will also monitor their attendance and behaviour.
- 7.5. CfCs and Disciplinarys will be considered in determining any learner's suitability to progress from one academic year to the next. A poor disciplinary record may prevent a learner from progressing.
- 7.6. Where a learner has been approved to return to College the following year, their disciplinary record will not carry over when they progress.
- 7.7. Where a learner has had to appeal to be allowed to progress to the following year, their disciplinary record will carry over into the following year to enable staff to monitor expected changes in behaviour.

Appendix 1 – Examples of Behaviour and Possible Sanctions

	Pre-42 Days	Post-42 Days (Six-Week) Trial Period		
	Cause for Concern All Breaches	Stage 1 Minor Breach	Stage 2 Significant Breach	Stage 3 Serious Breach
These examples are not exhaustive and there will be other behaviours that require professional judgment as to what Level of sanction. Staff need to consider each learner individually when applying sanctions based on previous history, attitude to being challenged and time of year.	<ul style="list-style-type: none"> Non-attendance as occasional one-offs Lateness Failure to follow instructions Not wearing ID badge Disruptive behaviour in lessons or on site Inappropriate language Rudeness of disrespectful attitude Unsatisfactory standards of work Inappropriate use of mobile phones or other technologies Failure to meet deadlines Smoking in non-designated (including vaping) areas on site Any poor behaviours, attendance or attitude Stage 1 Minor Breach list Stage 2 Significant Breach list Stage 3 Serious Breach list (this could lead to immediate Withdrawal) 	<ul style="list-style-type: none"> Two non-attendances without genuine justification Two or three Lateness without reason (1 local traffic) Two or three times failure to follow instructions Twice inappropriate or offensive language General unsatisfactory and thoughtless behaviour / disruption Acting irresponsibly and putting self or others at risk Twice inappropriate use of mobile phones or other electronic devices Twice or three times failure to wear college ID or produce it when asked Twice failure to complete or hand in work on time Copying of work from a previous source (refer to Academic Misconduct Policy) Failure to comply with College procedures. Two or three times smoking (including vaping) in non-designated areas on site. Driving recklessly in College. Not following basic College Expectations (see poster) or Learner Charter. 	<ul style="list-style-type: none"> Failure to comply with Action plan set at Level 1 or failure to improve performance following previous disciplinary action Abusive/violent language Significant behaviour that could bring the college into disrepute Cheating/plagiarism Repeated general misconduct and thoughtless behaviour Failure to complete course work or major assignments on time Refusing to wear college ID/disclose their identity when requested for it or giving incorrect information Failure to comply with Health and Safety regulations including repeated smoking in the incorrect area Some harassment may appear at this Level Inappropriate use of internet Direct copying of other students' work for assessment (refer to Academic Misconduct Policy) Repeated failure to comply with college procedures. Bullying – verbal, physical or through social media Proved to be with learners who are, or have taken, illegal substances. 	<ul style="list-style-type: none"> Failure to comply with Action Plan set at Level 2 or failure to improve performance following previous disciplinary action Abusive, aggressive, or violent behaviour of a more serious nature Sexual assault Possession, use or supply of illegal substances on College premises, during breaks taken in the day off-site, when representing the College Significant abuse or misuse of college technology (e.g., to access pornography, or commit a criminal offence) Serious endangering of the health and safety of others Committing a criminal offence that affects the safeguarding of others Possession of an offensive weapon Cheating/plagiarism in formal assessments/exams Serious harassment or discriminatory behaviour Promoting or engaging in extremist behaviour/activity Persistent or extreme bullying and victimisation Criminal activity, including theft or fraud The unauthorised taking or publishing of audio-visual images or recordings of students or staff, including the posting of such images using internet technologies, without permission Swearing or verbal abuse directed at staff Any serious incident that is considered to bring the college into disrepute
Person responsible for issuing	Any member of staff	Curriculum Staff	Curriculum Staff	Head of Curriculum (HoC) or Deputy Head of Curriculum (DHoC) or Assistant Principal
Person(s) conducting/ attending meeting	No formal meeting, just let the student know they will be getting a CfC	Curriculum Staff - an informal meeting to let the student know they are getting a Disciplinary. Set Action Plan.	Head of Curriculum or Deputy Head of Curriculum Parent and Student invited	Assistant Principal Parent and Student invited
Action	<ul style="list-style-type: none"> Logged on eTrackr under Cause for Concern (CfC) Parental/carers/employer contact (if required) All behaviours are logged with CfC's pre-42 days only. 	<ul style="list-style-type: none"> Record Level 1 disciplinary meeting on eTrackr Set SMART Targets, Review as appropriate Parental/carers/employer contact 	<ul style="list-style-type: none"> Temporary suspension (discretion of HoC /DHoC) to be investigated by HoC/DHoC. Record Level 2 Disciplinary meeting and outcome on eTrackr Set SMART Targets/Review as appropriate Parental/carers/employer contact Outcome communicated in writing to student and parent ALS team to be informed if EH&CP/HNS 	<ul style="list-style-type: none"> Temporary suspension (discretion of AP) Record Level 3 Disciplinary meeting and outcome on eTrackr Let Student Services know to send letter(s) Parental/carers/employer contact Outcome communicated in writing to student and parent ALS team to be informed if EH&CP/HNS

Appendix 2 – Disciplinary Procedure Flowchart

Pre-42 Days (first Six-Weeks Only)

Cause for Concern (“CfC”) – (Issued for any breaches during the first 42 Days [six weeks])

- Issued within 48 Hours
- **ANY** member of staff can issue
- Logged on eTrackr
- 1:1 with student and issuing staff member
- Personal Tutor to monitor progress of students and discuss any concerns
- Parental contact made where there are concerns that a learner is 'at risk' of passing their six-week trial period

Post 42 Days (after Six-Week Trial Period)

Level 1 Disciplinary (Levels 1, 2 & 3 are only used post 42-Days) Issued for **minor breaches**

- Issued within 48 Hours
- **CURRICULUM** staff can issue regardless of area
- Tracked on eTrackr (under notes - change Disciplinary Levels)
- 1:1 with student and personal tutor/HoC or DHoC
- A learner can have a maximum of three Level 1's
- Parental contact discretionary

Level 2 Disciplinary - Issued for **significant breaches** or following three Level 1 Disciplinarys

- Raised within 24 hours of allegation (brought to the attention of the HoC)
- Can be raised by any member of **CURRICULUM** staff
- Learner may be sent home by **ANY** member of curriculum staff
- Learner **MAY** be suspended at discretion of HoC or DHoC
- To be investigated by HoC or DHoC
- Investigation to be communicated verbally and in writing to student and parents/carers
- Outcome of investigation is within five working days with 1:1 with learner and HoC or DHoC and parents/carers
- Outcome noted on eTrackr and communicated in writing to student and parents/carers

Level 3 Disciplinary - Issued for **serious breach** or escalation from Level 2

- Raised within 24 hours of allegation (brought to the attention of the AP)
- Learner may be sent home by **ANY** member of curriculum staff
- Any suspension will be undertaken at discretion of the Head of Curriculum or Assistant Principal
- To be investigated by Assistant Principal
- Investigation to be communicated verbally and in writing to student and parents/carers
- Outcome of investigation within five working days with 1:1 with learner and Assistant Principal and parents/carers within 10 days
- Outcome noted on eTrackr and communicated in writing to student and parents/carers
- Behaviour Contract set with learner in the Disciplinary meeting